

The Galax System  
*“The XQuery Implementation for  
Discriminating Hackers”*  
Version 0.6.0-pre-release

Mary Fernández      Jérôme Siméon

May 16, 2006



**Part I**

**User's Manual**



## Chapter 1

# Getting Started

## 1.1 What is XQuery 1.0?

XQuery 1.0 is a query language for XML, defined by the World-Wide Web Consortium (W3C), under the XML activity. XQuery is a powerful language, which supports XPath 2.0 as a subset and has operations to construct new documents, SQL-like operations to do selection, joins, and sorting, operations on namespaces, and operations on XML Schema types. XQuery is a functional language, which comes with an extensive library of built-in functions, and has support for user-defined functions. More information about XQuery can be found of the XML Query Working Group Web page at: <http://www.w3c.org/TR/xquery>.

## 1.2 What is Galax?

- Galax is a fully functional implementation of XQuery 1.0. Galax supports XPath 2.0, including operations on document order, forward and backward axis, support for namespaces, operations on types, and user-defined functions.
- Galax is based on the XQuery January 2006 working drafts.
- Galax supports UTF-8 and ISO-8859-1 character encodings.
- Galax is portable and runs on many modern platforms.
- Galax supports a command-line interface, APIs for O'Camel, C, and Java, and a simple HTML form-based interface.
- Galax supports XML Schema validation, with the exception of XML Schema facets on simple types and derivation by extension and restriction on complex types.
- Galax supports the complete XQuery static type inference system.

### Alpha features:

- Galax supports the optional XQuery features: XML Schema import, static type checking, and modules.
- Galax is designed for scalability. Although only a few optimization techniques are available in this release, Galax already supports some prototype optimizations and a SAX parser.
- Galax has an *extensible data model* interface. If you want to provide your own implementation of the XML data model, for example, to support querying of legacy data, Galax will be able to query that data as though it were XML.

**Limitations:** See Chapter 10 for details on Galax's alignment with the XQuery and XPath working drafts.

### 1.2.1 Specifications

Galax implements the October 2004 XQuery 1.0 and XPath 2.0 Working Drafts, the XML 1.0 Recommendation, the Namespaces in XML Recommendation, XML Schema Recommendation (Parts 1 & 2).

See Chapter 10 for detailed description of Galax's alignment with October 2004 working drafts.

See Chapter 9 for changes made in version 0.6.0-pre-release.

## 1.3 Downloading and installing Galax

Galax 0.6.0-pre-release distribution can be downloaded from <http://www.galaxquery.org/distrib.html>.

Detailed installation instructions are in Chapter 2.

## 1.4 How you can use Galax

The Galax query engine can be run from:

- Command-line tools.
- Application-programming interfaces (APIs) for O'Caml, C, or Java.
- A form-based HTML interface.

### 1.4.1 Command-line tools

Galax supports the following stand-alone command-line tools:

**galax-run** The main Galax XQuery interpreter. The simplest way to use Galax is by calling the **galax-run** interpreter from the command line.

For instance, the following commands from the Galax home directory:

```
% echo "<two> 1+1 </two>" > test.xq
% $(GALAXHOME)/bin/galax-run test.xq
<two>2</two>
```

evaluates the simple query `<two> { 1+1 } </two>` and prints the XML value `<two>2</two>`.

**galax-parse** A stand-alone XML document parser and XML Schema validator. This tool is useful for checking whether an input document validates against an XML Schema.

For instance, this command will validate the document in `hispo.xml` against the schema in `hispo.xsd`:

```
% $(GALAXHOME)/bin/galax-parse -validate -xmlschema $(GALAXHOME)/examples/docs/hispo.xs
```

**galax-mapschema** A stand-alone tool that maps XML Schema documents into the XQuery type system. This tool is useful for checking whether Galax recognizes all the constructs in your XML Schema. It also eliminates a lot of the “noise” in XML Schema’s XML syntax.

For instance, this command will print out the XQuery type representation of the schema in `hispo.xsd`:

```
% $(GALAXHOME)/bin/galax-mapschema $(GALAXHOME)/examples/docs/hispo.xsd
```

Chapter 5 describes the command-line tools in detail.

### 1.4.2 Web interface

The Web interface is a simple and convenient way to play with Galax. It is available on-line at: [http://www.galaxquery.org/demo/galax\\_demo.html](http://www.galaxquery.org/demo/galax_demo.html)

You can also re-compile the demo from the Galax source and install it on your own system. You will need an HTTP server (Apache is recommended). Follow the compilation instructions in Section 2.5.

### 1.4.3 Language API’s

Galax supports APIs for O’Caml, C, and Java. See Chapter 6 for how to use the APIs.

If you have installed the binary distribution of Galax, all three APIs are available.

If you have installed the source distribution of Galax, you will need to select the language(s) for which you need API support at configuration time. See Chapter 2 for details on compiling Galax from source.

Examples of how to use Galax from those API’s are in the directories `$(GALAXHOME)/examples/caml_api/`, `$(GALAXHOME)/examples/c_api/`, and `$(GALAXHOME)/examples/java_api/`.

## Chapter 2

# Installation

This chapter contains the following sections:

- Preliminaries (Section 2.1) : general requirements and portability information.
- Source Distribution (Section 2.2) : requirements and installation instructions for the source distribution.
- Binary Distribution (Section 2.3) : requirements and installation instructions for the binary distribution.
- Operating-System Installation Notes (Section 2.4) : detailed installation notes for each supported target.
- Web-form interface (Section 2.5) : requirements and installation instructions for Galax's web-form interface.

## 2.1 Preliminaries

### 2.1.1 Platforms

Release 0.6.0-pre-release contains one source distribution and binary distributions for:

- Linux i686, Fedora (Red Hat Linux 9), with gcc 3.3.2

**Note:** The binary distribution was compiled with O'Cam1 version 3.08, which is not backward compatible with earlier versions of O'Cam1.

The source distribution is intended for Unix platforms with GNU compilers and binary utilities, or Windows platforms with Cygwin or MinGW compilers. There is no port for VC++ compilers or for non-GNU Unix compilers.

This release has been tested successfully with:

- Linux i686, Fedora (Red Hat Linux 9), with gcc 3.3.2

Others have compiled earlier versions of Galax on:

- BSD Unix

We have tried to isolate all the platform-dependent configuration options in `config/Makefile.{unix,macos,mingw}`. If you are trying to compile Galax on a new Unix target, you will certainly have to make changes to `config/Makefile.unix`, but you may also have to edit `config/Makefile.gen`.

Please send us the configuration options for your port and we will incorporate them into the next release.

### 2.1.2 General Requirements

Both the source and binary distributions require:

**Perl Compatible Regular Expression Library – PCRE ( $\geq$  v4.5)** Galax requires the PCRE (Perl Compatible Regular Expression) Library **version 4.5 or later**. PCRE is standard on many Linux distributions (check your version!) or can be downloaded from: <http://www.pcre.org/>.

## 2.2 Source-code Distribution

### 2.2.1 Source Distribution Requirements

See Section 2.1.2.

We recommend that you install these required tools *in order*, because there are dependencies. For example, ocamlnet depends upon pcre-ocaml and findlib.

**O’Caml (v3.08)** The Objective Caml compiler can be downloaded from: <http://caml.inria.fr/>.

**Installing Linux RPMs:** If you are installing O’Caml from RPM (not source), the RPM must include Caml4p (the O’Caml pre-processor). We have confirmed that the following RPMs contain Caml4p:

- <http://rpm.nogin.org/MetaPRL/fc4/ocaml-3.08.3-3.rhfc4.i386.html>

**Installing on MacOS:** See Section 2.4.4.

**findlib (v1.0.4)** The findlib O’Caml library management tool can be downloaded from: <http://www.ocaml-programming.de/programming/findlib.html>.

**pcre-ocaml (v5.09)** The pcre-ocaml O’Caml interface to PCRE library can be downloaded from: [http://www.ai.univie.ac.at/markus/home/ocaml\\_sources.html](http://www.ai.univie.ac.at/markus/home/ocaml_sources.html).

**ocamlnet (v0.98)** The ocamlnet network protocol and string processing library can be downloaded from: <http://www.ocaml-programming.de/programming/ocamlnet.html>.

**Requires:** findlib and pcre-ocaml. **Installing on all targets:** See Section 2.4.

**ulex (v0.5)** The lexer generator for Unicode and O’Caml can be downloaded from: <http://www.cduce.org/download.html>.

**Requires:** findlib. **Installing on MacOS:** See Section 2.4.4.

**pxp (development v1.1.96)** The pxp polymorphic XML parsers can be downloaded from: [http://www.ocaml-programming.de/packages/documentation/pxp/index\\_dev.html](http://www.ocaml-programming.de/packages/documentation/pxp/index_dev.html).

**Requires:** findlib and ulex. **Installing on MacOS:** See Section 2.4.4.

**camomile (v0.6.2)** The Camomile Unicode library can be downloaded from:  
<http://prdownloads.sourceforge.net/camomile/camomile-0.6.2.tar.bz2>

Follow INSTALL instructions.

(Version 0.6.3 requires O’Caml 3.09, so use v0.6.2.)

**MinGW or Cygwin** If your target platform is Windows, you will need to compile Objective Caml from its source under MinGW or Cygwin.

MinGW can be downloaded from: <http://www.mingw.org/>.

Cygwin can be downloaded from: <http://sourceware.cygwin.com/cygwin/>.

**GNU Make** The Makefiles for Galax require GNU make. If your Unix platform’s default make, or the make in your default \$PATH is not GNU make, then your need to make sure GNU make is installed on your system and use it instead.

**gcc** If you want to compile the C API, you will need a C compiler. Galax has only been tested with recent versions of gcc and GNU binary utilities (binutils) so there is no guarantee it will work with anything else (although it has also been reported to work with Solaris standard compiler).

**Java** If you want to compile the Java API, you will need a recent JDK. Galax 0.6.0-pre-release has been tested with SUN Java 2, version 1.4. Earlier versions of Galax have been reported to work with IBM(R) Developer Kit Version 1.3.1 and Blackdown Java 4.1 (SDK 1.3).

**Jungle** If you are installing the optional Jungle tool – Galax’s secondary storage system for large XML documents (Section 7.2), you will need:

1. Berkeley DB Version **4.1.25**. Berkeley DB comes standard on many Linux distributions (e.g., RH 9.0 or Fedora) or can be downloaded from: <http://www.sleepycat.com>.
2. The O’Caml IDL tool. You can download the O’Caml IDL tool from <http://caml.inria.fr/camlidl/>.

### 2.2.2 Source Installation

To build Galax from the source distribution:

1. Copy the appropriate configuration file for your platform:  
 Unix: `cp ./config/Makefile.unix ./config/Makefile`  
 Windows: `cp ./config/Makefile.mingw ./config/Makefile`  
 Mac: `cp ./config/Makefile.macos ./config/Makefile`
2. Edit the configuration file `./config/Makefile` and set up your environment:  
 Check the following required variables:

**OCAMLHOME** Objective Caml library directory

**OCAMLBIN** Objective Caml binaries

**GALAXHOME** Where to install the Galax system once it has been compiled.  
Usually  $\$(HOME)/Galax$

**CLIBPCRE** Directory where libpcr.a (version 4.5+) is found. Usually  
 $/usr/lib$  or  $/usr/local/lib$

Check the following optional variables:

**ENCODINGS** Default character set is UTF-8, if you want additional encodings, uncomment them.

**OPTTOOLS** If you are compiling an optional tool, uncomment the optional tool. For example, uncomment `OPTTOOLS=jungle` if you want to compile Jungle, Galax's secondary storage system. All other (unsupported) optional tools are listed in `Makefile.opttools.conf`.

**APIS** Uncomment the APIs, if you want to build them. The O'Caml API is compiled by default.

**CC** If you want to compile the C API, you should set `CC` to the C compiler.

**JAVAHOME and JAVAINCLUDE** If you want to compile the Java API, you should set `JAVAHOME` to your Java development kit and `JAVAINCLUDE` to the Java include directory.

If you are compiling for a Unix platform, uncomment the platform-specific variables for your target under **O/S Dependent Compilation and Linking Variables**.

If you are compiling the optional Jungle tool, uncomment the following:

**BERKELEYDBHOME** Set to Berkeley DB installation directory, usually  $/usr/local/BerkeleyDB.4.1$ .

3. Compile Galax: In `galax/` directory, `make world`

4. Install Galax: `make install`

5. Check installation:

In `galax/` directory, execute: `make tests`.

6. (Optional) Check Jungle installation:

In `galax/examples/jungle` directory, edit `jungle1.xq` and replace directory name by the path to your jungle directory, then execute: `make tests`.

## 2.3 Binary Distribution

### 2.3.1 Binary Distribution Requirements

See Section 2.1.2.

**\*\*\*** O’Caml API depends on all the same O’Caml libraries required by source distribution. **\*\*\***

**O’Caml (v3.08)** If you plan to use the Galax O’Caml API, you will need the Objective Caml compiler version 3.08. If you don’t plan to use the O’Caml API, then you do *not* need to install O’Caml.

The Objective Caml compilers can be downloaded from: <http://caml.inria.fr/>.

**Note:** The binary distribution was compiled with O’Caml version 3.08, which is not backward compatible with earlier versions of O’Caml.

**GNU Make** The Makefiles for Galax require GNU make. If your Unix platform’s default make, or the make in your default \$PATH is not GNU make, then your need to make sure GNU make is installed on your system and use it instead.

**gcc** If you want to compile the C API, you will need a C compiler. Galax has only been tested with recent versions of gcc, so there is no guarantee it will work with anything else. **Installing on Solaris:** See Section 2.4.2.

**Java** If you want to compile the Java API, you will need a recent JDK. Galax 0.6.0-pre-release has been tested with SUN Java 2, version 1.4. Earlier versions of Galax have been reported to work with IBM(R) Developer Kit Version 1.3.1 and Blackdown Java 4.1 (SDK 1.3). **Installing on Solaris:** See Section 2.4.2.

### 2.3.2 Binary Installation

To install Galax, you should:

1. Uncompress the distribution:

Windows: `unzip Galax-0.6.0-pre-release-MinGW.zip`

Linux: `cat Galax-0.6.0-pre-release-Linux.tar.gz | gunzip | tar xvf -`

MacOS: `cat Galax-0.6.0-pre-release-MacOS.tar.gz | gunzip | tar xvf -`

The directory Galax/ should contain:

**README** Pointer to documentation

**LICENSE** Terms of software licence

**bin/** Command-line tools

**doc/** This documentation

**examples/** Galax API examples for calling Galax from Caml, C and Java  
**lib/** Galax libraries  
**usecases/** XML Query Use Cases and XMark benchmark queries

2. Set up your environment: Edit `config/Makefile` and initialize variables:

**GALAXHOME** The Galax directory. Usually `$(HOME)/Galax`

**OCAMLHOME** If you are compiling O’Caml API example, the Objective Caml library directory.

**OCAMLBIN** If you are compiling O’Caml API example, the Objective Caml binaries directory.

**CC** If you are compiling the C API example, the C compiler.

**JAVAHOME and JAVAINCLUDE** If you are compiling the Java API example, you should set **JAVAHOME** to your Java development kit and **JAVAINCLUDE** to the Java include directory.

Add `$(GALAXHOME)/bin` to your `PATH`.

Add `$(GALAXHOME)/lib/c:$(GALAXHOME)/lib/java` to your library path variable (`LD_LIBRARY_PATH` on Linux, `DYLD_LIBRARY_PATH` on MacOS).

3. (Optional) Check installation:

In `$(GALAXHOME)/usecases` execute: `make all`

In `$(GALAXHOME)/examples` execute: `make all`

## 2.4 Operating-System Installation Notes

### 2.4.1 Linux

#### **ocamlnet**

If you have compiled O’Caml with no shared libraries, you will get an error when compiling `ocamlnet`, because the `src/netstring/tools/unimap_to_ocaml/unimap_to_ocaml` executable is a pre-compiled `ocamlrun` (bytecode) executable that assumes O’Caml supports shared libraries.

Remove `src/netstring/tools/unimap_to_ocaml/unimap_to_ocaml`, remake in that directory, then proceed with compilation and installation.

### 2.4.2 Solaris

### 2.4.3 APIs

The Solaris implementation requires `gcc` and the GNU binary utilities. If your `gcc` compiler is configured to invoke the native binary utilities (e.g., `as`, `ld`, etc.) and not the GNU utilities, you need to set up your environment so `gcc` will use the GNU utilities. Set `$LD_LIBRARY_PATH` to `gcc` library directory and `$GCC_EXEC_PREFIX` to the `gcc` binary utilities directory.

### 2.4.4 MacOS

#### O’Caml:

We recommend that when you configure the O’Caml compiler, use the **-no-shared-libs** options, which means that the O’Caml compiler will only create static libraries.

If you get linking errors when compiling the C API, you may have to rebuild your O’Caml compiler using the **-fno-common** compiler option. Edit your O’Caml config/Makefile and add **-fno-common** to `BYTECCCOMPOPTS` and `NATIVECCCOMPOPTS`.

#### ocamlnet

If you have compiled O’Caml with no shared libraries, you will get an error when compiling ocamlnet, because the `src/netstring/tools/unimap_to_ocaml/unimap_to_ocaml` executable is a pre-compiled ocamlrun (bytecode) executable that assumes O’Caml supports shared libraries.

Remove `src/netstring/tools/unimap_to_ocaml/unimap_to_ocaml`, remake in that directory, then proceed with ocamlnet compilation and installation.

If you get errors from `ocamlfind`, try:

```
ocamlopt -o unimap_to_ocaml $(OCAMLHOME)/str.cmxa unimap_to_ocaml.ml
```

I had to generate `unimap_to_ocaml` by hand, because `ocamlfind` did not find `str.cmxa` correctly.

#### pxp

I was not able to build PXP successfully using the `wlex` and `ulex` lexers and all the character encodings. Instead, I configured PXP with just two character encodings and the standard lexers:

```
./configure -without-wlex -without-ulex -lexlist utf8,iso88591 -without-pp
```

Then proceed with compilation and installation.

### 2.4.5 Windows

## 2.5 Web-site Interface

The Galax website and on-line demo is in the source distribution, only.

### 2.5.1 Prerequisites for demo website

The Galax website has only been tested with Apache Web server. We recommend you use Apache as some of the CGI scripts might be sensitive to the server you are using. Apache can be downloaded from: <http://www.apache.org/>

## 2.5.2 Installation of Website Interface

1. Edit `website/Makefile.config` and initialize variables:

`WEBSITE` Location of the Apache directory for Galax.

`CGIBIN_PREFIX` Prefix of directory that may contain CGI executables. If empty, then they are placed in `WEBSITE`.

2. Compile the the demo scripts: `make world`
3. Install the web site and demo scripts: `make install`
4. Configure your own Apache server: If all the galax demo files (HTML, XML and CGIs) are placed in one directory where the HTTP daemon is expecting to find them, then it is necessary to add the following config info to the `/etc/httpd/conf/http.conf` file:

```
<Directory "/var/www/html/galax">
  Options All
  AllowOverride None
  AddHandler cgi-script .cgi
  Order allow,deny
  Allow from all
</Directory>
```

This permits scripts with suffix `.cgi` in `/var/www/html/galax` to be executed. The Galax demo is available at `http://localhost/galax`.

5. OR Configure an existing multi-user Apache server.

Your sysadmin may already have set up an Apache server for general use, and allows CGI programs by any user. You can verify by finding directives similar to the following in `httpd.conf` (wherever it might be located on your system),

```
<DirectoryMatch "~/home/[~/]+/cgi-bin">
  AllowOverride AuthConfig
  Options ExecCGI
  SetHandler cgi-script
</DirectoryMatch>
```

In that case, simply follow the comments in `website/Makefile.config` to choose installation destinations for your CGI programs and the HTML documents should suffice. The URL for accessing the installed site will depend on how your webserver is set up. Consult your sysadmin or webadmin for further help.



## Chapter 3

# Tutorial

### 3.1 Executing a query

The simplest way to use Galax is by calling the **galax-run** interpreter from the command line. This chapter describes the most frequently used command-line options. Chapter 5 enumerates all the command-line options.

Before you begin, follow instructions in Section 2.3.2 for setting up your environment. Run the following query to make sure your environment is set-up correctly:

```
% echo "<two> 1+1 </two>" > test.xq
% galax-run test.xq
<two>2</two>
```

Galax evaluates the expression `<two> { 1+1 } </two>` in file `test.xq` and prints the XML value `<two>2</two>`.

By default, Galax parses and evaluates an XQuery main module, which contains both a prolog and an expression. Sometimes it is useful to separate the prolog from an expression, for example, if the same prolog is used by multiple expressions. The `-context` option specifies a file that contains a query prolog.

All of the XQuery use cases in `$(GALAXHOME)/usecases` are implemented by separating the query prolog from the query expressions. Here is how to execute the Parts usecase:

```
% cd $(GALAXHOME)/usecases
% galax-run -context parts_context.xq parts_usecase.xq
```

The other use cases are executed similarly, for example:

```
% galax-run -context rel_context.xq rel_usecase.xq
```

### 3.2 Accessing Input

You can access an input document by calling the `fn:doc()` function and passing the file name as an argument:

```
% cd $(GALAXHOME)/usecases
% echo "fn:doc('docs/books.xml')" > doc.xq
% galax-run doc.xq
```

You can access an input document by referring to the context item (the “.” dot variable), whose value is the document’s content:

```
% echo "." > dot.xq
% galax-run -context-item docs/books.xml dot.xq
```

You can also access an input document by using the `-doc` argument, which binds a variable to the content of the given document file:

```
% echo "declare variable $x external; $x" > var.xq
% galax-run -doc x=docs/books.xml var.xq
```

### 3.3 Controlling Output

By default, Galax serializes, or emits, the result of a query in the XQuery representation of the XML value. For example, the result of this query is serialized as the literal 2:

```
% echo "1+1">sum.xq
% galax-run sum.xq
2
```

If you want the output of your query to be a well-formed XML value, then use the `-serialize wf` option:

```
% galax-run sum.xq -serialize wf
```

The result of this query is:

```
<?xml version="1.0" encoding="UTF-8"?>
<glx:result xmlns:glx="http://www.galaxquery.org">2</glx:result>
```

Note that atomic values do not have a canonical representation in XML, so Galax “wraps” atomic values in `glx:result` elements.

Try using the `-serialize wf` option on other examples:

```
% galax-run -var x=docs/books.xml var.xq -serialize wf
```

By default, Galax serializes the result value to standard output. Use the `-print-xml` option to serialize the result value to an output file.

```
% galax-run -var x=docs/books.xml var.xq -print-xml output.xml
```



## Chapter 4

# General

## 4.1 The Galax Team

Galax core development:

- Mary Fernández
- Jérôme Siméon

Contributors:

- Byron Choi
- Vladimir Gapeyev
- Amélie Marian
- Philippe Michiels
- Nicola Onose
- Douglas Petkanics
- Christopher Ré
- Michael Stark
- Gargi Sur
- Avinash Vyas
- Philip Wadler

## 4.2 Feedback

Feedback and bug reports can be sent by mail to: [galax@lists.bell-labs.com](mailto:galax@lists.bell-labs.com)

You can subscribe to the Galax mailing list at: <http://lists.bell-labs.com/mailman/listinfo>

You can report bugs at <http://bugzilla.galaxquery.net/>.

## 4.3 Copyright and License

Galax version 0.6.0-pre-release is distributed under the terms of the LUCENT PUBLIC LICENSE VERSION 1.0 - see the LICENSE file for details.

Lucent Public License Version 1.0

THE ACCOMPANYING PROGRAM IS PROVIDED UNDER THE TERMS OF THIS PUBLIC LICENSE ("AGREEMENT"). ANY USE, REPRODUCTION OR DISTRIBUTION OF THE PROGRAM CONSTITUTES RECIPIENT'S ACCEPTANCE OF THIS AGREEMENT.

### 1. DEFINITIONS

"Contribution" means:

a.in the case of Lucent Technologies Inc. ("LUCENT"), the Original Program, and

b.in the case of each Contributor,

i.changes to the Program, and

ii.additions to the Program; where such changes and/or additions to the Program originate from and are "Contributed" by that particular Contributor.

A Contribution is "Contributed" by a Contributor only (i) if it was added to the Program by such Contributor itself or anyone acting on such Contributor's behalf, and (ii) the Contributor explicitly consents, in accordance with Section 3C, to characterization of the changes and/or additions as Contributions.

"Contributor" means LUCENT and any other entity that has Contributed a Contribution to the Program.

"Distributor" means a Recipient that distributes the Program, modifications to the Program, or any part thereof.

"Licensed Patents" mean patent claims licensable by a Contributor which are necessarily infringed by the use or sale of its Contribution alone or when combined with the Program.

"Original Program" means the original version of the software accompanying this Agreement as released by LUCENT, including source code, object code and documentation, if any.

"Program" means the Original Program and Contributions or any part thereof

"Recipient" means anyone who receives the Program under this Agreement, including all Contributors.

## 2. GRANT OF RIGHTS

a.Subject to the terms of this Agreement, each Contributor hereby grants Recipient a non-exclusive, worldwide, royalty-free copyright license to reproduce, prepare derivative works of, publicly display, publicly perform, distribute and sublicense the Contribution of such

Contributor, if any, and such derivative works, in source code and object code form.

b. Subject to the terms of this Agreement, each Contributor hereby grants Recipient a non-exclusive, worldwide, royalty-free patent license under Licensed Patents to make, use, sell, offer to sell, import and otherwise transfer the Contribution of such Contributor, if any, in source code and object code form. The patent license granted by a Contributor shall also apply to the combination of the Contribution of that Contributor and the Program if, at the time the Contribution is added by the Contributor, such addition of the Contribution causes such combination to be covered by the Licensed Patents. The patent license granted by a Contributor shall not apply to (i) any other combinations which include the Contribution, nor to (ii) Contributions of other Contributors. No hardware per se is licensed hereunder.

c. Recipient understands that although each Contributor grants the licenses to its Contributions set forth herein, no assurances are provided by any Contributor that the Program does not infringe the patent or other intellectual property rights of any other entity. Each Contributor disclaims any liability to Recipient for claims brought by any other entity based on infringement of intellectual property rights or otherwise. As a condition to exercising the rights and licenses granted hereunder, each Recipient hereby assumes sole responsibility to secure any other intellectual property rights needed, if any. For example, if a third party patent license is required to allow Recipient to distribute the Program, it is Recipient's responsibility to acquire that license before distributing the Program.

d. Each Contributor represents that to its knowledge it has sufficient copyright rights in its Contribution, if any, to grant the copyright license set forth in this Agreement.

### 3. REQUIREMENTS

A. Distributor may choose to distribute the Program in any form under this Agreement or under its own license agreement, provided that:

a. it complies with the terms and conditions of this Agreement;

b. if the Program is distributed in source code or other tangible form, a copy of this Agreement or Distributor's own license agreement is included with each copy of the Program; and

c.if distributed under Distributor's own license agreement, such license agreement:

i.effectively disclaims on behalf of all Contributors all warranties and conditions, express and implied, including warranties or conditions of title and non-infringement, and implied warranties or conditions of merchantability and fitness for a particular purpose;

ii.effectively excludes on behalf of all Contributors all liability for damages, including direct, indirect, special, incidental and consequential damages, such as lost profits; and

iii.states that any provisions which differ from this Agreement are offered by that Contributor alone and not by any other party.

B. Each Distributor must include the following in a conspicuous location in the Program:

Copyright (C) 2003, Lucent Technologies Inc. and others. All Rights Reserved.

C. In addition, each Contributor must identify itself as the originator of its Contribution, if any, and manifest its intent that the additions and/or changes be a Contribution, in a manner that reasonably allows subsequent Recipients to identify the originator of the Contribution. Once consent is granted, it may not thereafter be revoked.

#### 4. COMMERCIAL DISTRIBUTION

Commercial distributors of software may accept certain responsibilities with respect to end users, business partners and the like. While this license is intended to facilitate the commercial use of the Program, the Distributor who includes the Program in a commercial product offering should do so in a manner which does not create potential liability for Contributors. Therefore, if a Distributor includes the Program in a commercial product offering, such Distributor ("Commercial Distributor") hereby agrees to defend and indemnify every Contributor ("Indemnified Contributor") against any losses, damages and costs (collectively "Losses") arising from claims, lawsuits and other legal actions brought by a third party against the Indemnified Contributor to the extent caused by the acts or omissions of such Commercial Distributor in connection with its distribution of the Program in a commercial product offering. The obligations in this section do not apply to any claims or Losses

relating to any actual or alleged intellectual property infringement. In order to qualify, an Indemnified Contributor must: a) promptly notify the Commercial Distributor in writing of such claim, and b) allow the Commercial Distributor to control, and cooperate with the Commercial Distributor in, the defense and any related settlement negotiations. The Indemnified Contributor may participate in any such claim at its own expense.

For example, a Distributor might include the Program in a commercial product offering, Product X. That Distributor is then a Commercial Distributor. If that Commercial Distributor then makes performance claims, or offers warranties related to Product X, those performance claims and warranties are such Commercial Distributor's responsibility alone. Under this section, the Commercial Distributor would have to defend claims against the Contributors related to those performance claims and warranties, and if a court requires any Contributor to pay any damages as a result, the Commercial Distributor must pay those damages.

#### 5. NO WARRANTY

EXCEPT AS EXPRESSLY SET FORTH IN THIS AGREEMENT, THE PROGRAM IS PROVIDED ON AN "AS IS" BASIS, WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, EITHER EXPRESS OR IMPLIED INCLUDING, WITHOUT LIMITATION, ANY WARRANTIES OR CONDITIONS OF TITLE, NON-INFRINGEMENT, MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. Each Recipient is solely responsible for determining the appropriateness of using and distributing the Program and assumes all risks associated with its exercise of rights under this Agreement, including but not limited to the risks and costs of program errors, compliance with applicable laws, damage to or loss of data, programs or equipment, and unavailability or interruption of operations.

#### 6. DISCLAIMER OF LIABILITY

EXCEPT AS EXPRESSLY SET FORTH IN THIS AGREEMENT, NEITHER RECIPIENT NOR ANY CONTRIBUTORS SHALL HAVE ANY LIABILITY FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING WITHOUT LIMITATION LOST PROFITS), HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OR DISTRIBUTION OF THE PROGRAM OR THE EXERCISE OF ANY RIGHTS GRANTED HEREUNDER, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

#### 7. EXPORT CONTROL

The Recipient acknowledges that the Program is "publicly available" as the term is defined under the United States export administration regulations and is not subject to export control under such laws and regulations. However, if the Recipient modifies the Program to change (or otherwise affect) such publicly available status, the Recipient agrees that Recipient alone is responsible for compliance with the United States export administration regulations (or the export control laws and regulation of any other countries) and hereby indemnifies the Contributors for any liability incurred as a result of the Recipients actions which result in any violation of any such laws and regulations.

#### 8. GENERAL

If any provision of this Agreement is invalid or unenforceable under applicable law, it shall not affect the validity or enforceability of the remainder of the terms of this Agreement, and without further action by the parties hereto, such provision shall be reformed to the minimum extent necessary to make such provision valid and enforceable.

If Recipient institutes patent litigation against a Contributor with respect to a patent applicable to software (including a cross-claim or counterclaim in a lawsuit), then any patent licenses granted by that Contributor to such Recipient under this Agreement shall terminate as of the date such litigation is filed. In addition, if Recipient institutes patent litigation against any entity (including a cross-claim or counterclaim in a lawsuit) alleging that the Program itself (excluding combinations of the Program with other software or hardware) infringes such Recipient's patent(s), then such Recipient's rights granted under Section 2(b) shall terminate as of the date such litigation is filed.

All Recipient's rights under this Agreement shall terminate if it fails to comply with any of the material terms or conditions of this Agreement and does not cure such failure in a reasonable period of time after becoming aware of such noncompliance. If all Recipient's rights under this Agreement terminate, Recipient agrees to cease use and distribution of the Program as soon as reasonably practicable. However, Recipient's obligations under this Agreement and any licenses granted by Recipient relating to the Program shall continue and survive.

Lucent Technologies Inc. may publish new versions (including

revisions) of this Agreement from time to time. Each new version of the Agreement will be given a distinguishing version number. The Program (including Contributions) may always be distributed subject to the version of the Agreement under which it was received. In addition, after a new version of the Agreement is published, Contributor may elect to distribute the Program (including its Contributions) under the new version. No one other than Lucent has the right to modify this Agreement. Except as expressly stated in Sections 2(a) and 2(b) above, Recipient receives no rights or licenses to the intellectual property of any Contributor under this Agreement, whether expressly, by implication, estoppel or otherwise. All rights in the Program not expressly granted under this Agreement are reserved.

This Agreement is governed by the laws of the State of New York and the intellectual property laws of the United States of America. No party to this Agreement will bring a legal action under this Agreement more than one year after the cause of action arose. Each party waives its rights to a jury trial in any resulting litigation.

## 4.4 Bugs and Limitations

### 4.5 Known Bugs and Limitations

Galax's error messages are often uninformative. We are working on this.

Namespace declarations in input and output documents and in input queries are not handled consistently. We are working on this.

Although module declarations and module import statements are supported, they are not well tested.

#### Bugs fixed in Version 0.4

~~~~~

| Date: | Reported By: | Locus: | Fixed by: | Test in XQueryUnit: |
|-------|--------------|--------|-----------|---------------------|
| ----- | -----        | -----  | -----     | -----               |

06 Nov Trevor Jim

Feature request: if no file arguments are given to galax, take input from standard input; or at least provide a flag that allows this.

|         |                              |                      |        |                |
|---------|------------------------------|----------------------|--------|----------------|
| 04Apr03 | Mary Fernandez               | Datamodel/Node order |        |                |
|         | mff@research.att.com         |                      | Jerome | New data model |
|         | galax-dev/2003q2/000209.html |                      |        |                |

## Node order

|         |                          |                         |      |
|---------|--------------------------|-------------------------|------|
| 12Jul03 | Mark Anderson            | Makefiles (MacOSX)      |      |
|         | galax/2003q3/000285.html |                         | Mary |
| 30Jul03 | Michael Burbridge        | Makefiles (MacOSX)      |      |
|         | galax/2003q3/000287.html |                         | Mary |
|         | galax/2003q3/000294.html |                         |      |
| 8Aug03  | Michael Schlenker        | Extensible DM interface |      |
|         | galax/2003q3/000304.html |                         |      |

## Bugs fixed in Version 0.3.1

~~~~~

Date:	Reported By:	Locus:	Fixed by:	Test in XQueryUnit:
-----	-----	-----	-----	-----
16Apr03	Carmelo Montanez carmelo@nist.gov galax/2003q2/000196.html literal ints are int, not integer	Atomic types	Mary	
29Jan03	Bard Bloom <bardb@us.ibm.com> galax/2003q1/000130.html	Optimization	Mary	[galax]Optimization_001.xq
31Jan03	Bard Bloom <bardb@us.ibm.com> galax/2003q1/000138.html	Error messages	Mary	[Section3.1.4]Function_014.xq
11Feb03	Clause Huempel Claus Huempel chuempel@orange.fr galax/2003q1/000140.html	Lexing	Jerome	
20Feb03	Jerome Simeon simeon@research.bell-labs.com galax-dev/2003q1/000180.html	Context	Jerome/Mary	
13Mar03	Christopher Burdorf cburdorf@imageworks.com galax/2003q1/000156.html	Galapi-ML	Mary	
22Mar03	Mike Tikiliainen mt99@doc.ic.ac.uk galax/2003q1/000157.html	Galapi-ML	Mary	

26Mar03	Steve Fortune	Text constructor		[Section3.7.2]Compu
26Mar03	Steve Fortune	Context/API	Mary	[galax]ExtContext_0
16Apr03	Michael Burbidge mburbidg@adobe.com galax/2003q2/000198.html	Galapi-C	Mary	
18Apr03	Michael Burbidge mburbidg@adobe.com galax/2003q2/000217.html	Command-line API	Mary	
01May03	Bard Bloom <bardb@us.ibm.com> galax/2003q2/000233.html Order-by clause broken	Evaluation	Mary	[Section3.8]OrderCl
05May03	Greg Pomerantz gmp@alumni.brown.edu galax/2003q2/000242.html	Serialization	Jerome	
21Apr03	Michael Burbidge mburbidg@adobe.com galax/2003q2/000227.html	Unicode support	Jerome	Implemented so ISO-88591 and
30Apr03	Mary Fernandez mff@research.att.com glx:document-validate galax-dev/2003q2/000230.html	Document validate		

## Bug fixed in Version 0.3

~~~~~

| Date:  | Reported By:                                                               | Locus:              | Fixed by: | Test in XQueryUnit:   |
|--------|----------------------------------------------------------------------------|---------------------|-----------|-----------------------|
| ----   | -----                                                                      | -----               | -----     | -----                 |
| 14 Oct | Rajasekar Krishnamurthy<br><sekar@cs.wisc.edu><br>galax/2002q4/000054.html | Sorting             | Jerome    | [Section3.2.2]Predica |
| 04 Nov | Trevor Jim<br><trevor@research.att.com>                                    | Attr: single quotes | Jerome    | [Section3.7.2]Compute |

4.5. KNOWN BUGS AND LIMITATIONS

33

|        |                                                                                         |                                        |        |                                                                    |
|--------|-----------------------------------------------------------------------------------------|----------------------------------------|--------|--------------------------------------------------------------------|
| 04 Nov | Trevor Jim<br><trevor@research.att.com>                                                 | Attr constructors                      | Mary   | [Section3.7.2]ComputedAttribute<br>[Section3.7.2]ComputedAttribute |
| 04 Nov | Blavier, Andr<br>galax/2002q4/000062.html                                               | Attribute constructors                 |        | (Covered by ComputedAttributeCon                                   |
| 05 Nov | Blavier, Andr<br><andre.blavier@caissedesdepots.fr><br>galax/2002q4/000064.html         | Function calls                         | Mary   | [Section3.1.4]/FunctionCall_013.                                   |
| 06 Nov | Bard Bloom<br><br><bardb@us.ibm.com><br>galax/2002q4/000067.html                        | Whitespace in elem<br>constructor      |        | [Section3.7.1]ComputedElementCor                                   |
| 06 Nov | Peter Patel-Schneider                                                                   | Namespaces                             | Jerome | Should reject wrongful rebinding                                   |
| 06 Nov | Peter Patel-Schneider<br>Requested support for xml:base and xml:lang special attributes | Namespaces                             | Jerome |                                                                    |
| 08 Nov | Bard Bloom<br><bardb@us.ibm.com><br>galax/2002q4/000073.html                            | Sorting                                | J/M    | [Section3.9.1]SortExpr_010.xq                                      |
| 15 Nov | Bernd Amann                                                                             | Def'n of fn:data on<br>complex content |        | [Section3.5.2]GeneralComp_010.                                     |
| 25 Nov | Bard Bloom<br><bardb@us.ibm.com><br>galax/2002q4/000086.html                            | Attr constructor                       |        | (Covered by ComputedAttributeCor                                   |
| 4 Dec  | Bard Bloom<br><bardb@us.ibm.com><br>galax/2002q4/000092.html                            | Print function                         | Jerome | Implemented glx:print-string, gl                                   |

=====  
STILL OUTSTANDING  
~~~~~

Requested features  
~~~~~

Date: Requested by:  
11Apr03 Bronneberg EM Schema support

embronne@cs.vu.nl  
galax/2003q2/000187.html  
Attribute groups unsupported

14Apr03 MW Atomic types  
onlymails@gmx.net  
galax/2003q2/000194.html  
literal ints are int, not integer

16Apr03 Carmelo Montanez Atomic types  
carmelo@nist.gov  
galax/2003q2/000203.html  
All numeric types not supported

#### User contributions

~~~~~

20 Nov Volker Stoltz  
Free BSD compilation script

17Apr03 Michael Burbidge  
mburbidg@adobe.com  
Makefile changes for Mac OS X build...  
galax/2003q2/000207.html

#### Bugs

~~~~~

| Date:   | Reported By:                                                                        | Locus:             | Fixed by: | Test:               |
|---------|-------------------------------------------------------------------------------------|--------------------|-----------|---------------------|
| -----   | -----                                                                               | -----              | -----     | -----               |
| 30Jul03 | Michael Burbridge<br>galax/2003q3/000304.html<br>glx_serialize_to_file doesn't work | MacOSX             |           |                     |
| 06Nov02 | Trevor Jim<br><trevor@research.att.com><br>galax/2002q4/000066.html                 | Error messages     |           | galax-bugs/error.xq |
| 17Nov02 | Michael Good<br>galax/2002q4/000077.html                                            | Win32 distribution |           |                     |
| 03Dec02 | Bard Bloom<br><bardb@us.ibm.com><br>galax/2002q4/000090.html                        | Error messages     |           | General error messa |
| 28Feb03 | Bronneberg EM                                                                       | Parsing            |           |                     |

<embronne@cs.vu.nl>  
galax/2003q1/000144.html  
Parse error in function definitions

06Mar03 Bard Bloom Windows installation  
<bardb@us.ibm.com>  
galax/2003q1/000147.html  
Spaces in path to Galax installation

07Mar03 Palmer, Jim Windows installation  
jim.palmer@certive.com  
galax/2003q2/thread.html

10Apr03 Torsten Grust Static typing  
Torsten.Grust@uni-konstanz.de  
galax/2003q2/000183.html  
Semantics of XPath axes and attributes

10Apr03 Sima Kdoshim Parsing  
sigik@alum.cs.huji.ac.il  
galax/2003q2/thread.html  
Parsing entities in DTDs



**Part II**

**Reference Manual**



## Chapter 5

# Command Line Tools

Galax supports the following stand-alone command-line tools:

**galax-run** The Galax XQuery interpreter.

**galax-parse** XML document parser and validator.

**galax-mapschema** XML Schema validator. Outputs XML Schema in XQuery type system.

## 5.1 galax-run : The Galax XQuery interpreter

The simplest way to use Galax is by calling the 'galax-run' interpreter from the command line. The interpreter takes an XQuery input file, evaluates it, and yields the result on standard output.

Usage: `galax-run options query.xq`

For instance, the following commands from the Galax home directory:

```
% echo "<two> 1+1 </two>" > test.xq
% $(GALAXHOME)/bin/galax-run test.xq
<two>2</two>
```

evaluates the simple query `<two> { 1+1 } </two>` and prints the XML value `<two>2</two>`.

The query interpreter has eight processing stages: parsing an XQuery expression; normalizing an XQuery expression into an XQuery core expression; static typing of a core expression; rewriting a core expression; factorizing a core expression; compiling a core expression into a logical plan; selecting a physical plan from a logical plan; and evaluating a physical plan.

Parsing, factorization, and compilation are always enabled. By default, the other phases are:

```
-normalize on
-static off
-rewriting on
-optimization on
-dynamic on
```

By default, all result values (XML result, inferred type, etc.) are written to standard output.

The command line options permit various combinations of phases, printing intermediate expressions, and redirecting output to multiple output files. Here are the available options. Default values are in code font.

**-help, -help** Display this list of options

### 5.1.1 Input options

- base-uri** Sets the default base URI in the static context
- var x=literal**, Binds the global variable **x** to literal constant
- doc x=filename**, Binds the global variable **x** to document in filename
- context-item** Binds the context item (“.” variable) to the document in filename or ‘-’ for stdin
- context** Load the context query from file or ‘-’ for stdin
- xml-whitespace** Preserves whitespace in XML documents [on/off]
- xml-pic** Preserves PI’s and comments in XML documents [on/off]

### 5.1.2 Output options

- serialize** Set serialization kind [wf, canonical, or **xquery**]

### 5.1.3 Evaluation options

- dynamic** Evaluation phase [on/off]
- execute-normalized-expr** All files are assumed to be normalized expressions for execution [on/off]
- execute-logical-plan** All files are assumed to be logical plans for execution [on/off]
- print-xml** Print XML result [on/off]
- output-xml** Output XML to result file
- print-expr** Print input expression [on/off]
- output-expr** Output expr to file.

### 5.1.4 Normalization options

- normalize** Normalization phase [on/off]
- print-normalized-expr** Print normalized expression [on/off]
- output-normalized-expr** Output normalized expression to file.

### 5.1.5 Static typing options

- static** Static analysis phase [on/off]
- typing** Static typing behavior [none, weak, or strong]
- print-type** Print type of expression [on/off]
- output-type** Output type to file.
- print-typed-expr** Print typed expression [on/off]
- output-typed-expr** Output typed expression to file.

### 5.1.6 Rewriting options

- rewriting** Rewriting phase (use with -static on) [on/off]
- sbdo** Document-order/duplicate-elimination optimization behavior [remove, preserve, sloppy, tidy, **duptidy**]
  - remove** Remove all distinct-docorder (ddo) calls from the query plan. May result in faulty evaluation plans.
  - preserve** Preserve all distinct-doc-order calls (no optimization).
  - sloppy** Delay duplicate removal and sorting until the last step in the path expression. May cause the number of duplicates in intermediate results to increase exponentially since they are not removed. Also, subsequent steps are evaluated multiple times when duplicates are generated in a step.
  - tidy** Removes all distinct-doc-order operations that can be removed while maintaining duplicate-freeness and doc-order after each step.
  - duptidy** Only sorts and removes duplicates after a step if duplicates are generated. Intermediate results can be out of document order.
- print-rewritten-expr** Print rewritten expression [on/off]
- output-rewritten-expr** Output rewritten expression to file.

### 5.1.7 Factorization options

- factorization** Factorization phase [on/off]
- print-factorized-expr** Print factorized expression [on/off]
- output-factorized-expr** Output factorized expression to file.

### 5.1.8 Compilation options

**-print-comp-annotations** Print compilation annotations [on/off]

**-print-logical-plan** Print logical plan [on/off]

**-output-logical-plan** Output logical plan to file.

### 5.1.9 Optimization options

**-optimization** Optimization phase [on/off]

**-nested-loop-join** Turns off sort/hash joins and uses only nested-loop joins [on/off]

**-print-optimized-plan** Print optimized plan [on/off]

**-output-optimized-plan** Output optimized plan to file

**-print-physical-plan** Print physical plan [on/off]

**-output-physical-plan** Output physical algebraic plan to file

### 5.1.10 Miscellaneous printing options

**-verbose** Emit descriptive headers in output [on/off]

**-print-global** Prints everything : prologs, exprs, etc.

**-output-all** Output everything to file.

**-print-error-code** Print only the error code instead of the full error message

**-output-err** Redirect error output to file.

**-print-context-item** Serializes the context item at the end of query evaluation

**-output-context-item** Output the context item to the given file

**-print-annotations** Print expression annotations [on/off]

**-print-prolog** Print query prolog [on/off]

**-print-materialize** Print whenever data materialization occurs [on/off]

**-force-materialized** Force materialization of values in variables [on/off]

### 5.1.11 Document projection options

- projection** Document projection behavior [`none`, `standard`, or `optimized`]
- print-projection** Prints the projection paths
- output-projection** Output the projections paths to a file.
- print-projected-file** Prints back the input document after projection
- output-projected-file** Output the input document after projection into file.

### 5.1.12 Miscellaneous options

- version** Prints the Galax version
- debug** Emit debugging [`on/off`]
- monitor** Monitors memory and CPU consumption [`on/off`]
- monitor-mem** Monitors memory consumption [`on/off`]
- monitor-time** Monitors CPU consumption [`on/off`]
- output-monitor** Output monitor activity to file
- internal-encoding** Set the input character encoding representation, e.g., `utf8`, `iso88591`.
- output-encoding** Set the output character encoding representation

## 5.2 galax-parse: XML parser and XML Schema validator

`galax-parse` parses an XML document and optionally validates the document against an XML Schema.

Usage: `galax-parse options document.xml`

- help, -help** Display this list of options
- xml-whitespace** Preserves whitespace in XML documents
- xml-pic** Preserves PI's and comments in XML documents
- validate** Set validation on
- xmlschema** Schema against which to perform validation
- dm** Also builds the data model instance
- monitor** Monitors memory and CPU consumption

- monitor-mem** Monitors memory consumption
- monitor-time** Monitors CPU consumption
- output-monitor** Output monitor to file
- serialize-namespaces** Set serialization of namespace nodes [strip/preserve]
- serialize** Set serialization kind [canonical, wf, or xquery]
- print-error-code** Print only the error code instead of the full error message
- output-encoding** Set the output encoding representation

### 5.3 galax-mapschema : XML Schema validator

galax-mapschema maps XML schemas in xmlschema(s) into XQuery type expressions.

Usage: galax-mapschema *options* schema.xsd

- prefix** Namespace prefix
- verbose** Set printing to verbose
- import-type** Set XML Schema import
- normalize-type** Set XQuery type normalization
- print-type** Set printing of XQuery type
- print-normalized-type** Set printing of normalized XQuery type
- output-type** Output XQuery type in file
- output-normalized-type** Output normalized XQuery type in file

### 5.4 galaxd : The Galax network server

galaxd is a server that allows Galax to be invoked over the network.

Usage: galaxd [*options*] [*query.xq*]

- port *n*** Listen on port *n*. If the -port option is not used, the port defaults to 3324.
- s *dir*** Simulate the directory *dir*. The server will act as if it is a part of a virtual network specified by *dir*. Each file *host.xq* in *dir* defines a server *host* in the virtual network. The virtual network is implemented on the localhost at ports 3324, 3325, .... Ports are assigned to the *host.xq* files in lexicographic order.

The query file *query.xq* should define a function named local:main(). An XQuery program can get the result of local:main() on *host* by calling doc("dxq://host/"). If the server is using a non-default port *port*, then use doc("dxq://host:port/").

### 5.4.1 Running simulations

It is sometimes useful to simulate a network of Galax servers on a single host. The `-s` option makes this possible. The way to set this up is to create a directory with a query file for each simulated host. For example, create a directory *example* with query files *a.xq*, *b.xq*, and *c.xq*. Each *.xq* file should define a `local:main()` function. Also, these files can refer to each other's `local:main()` functions using `doc("dxq://a/")`, `doc("dxq://b/")`, and `doc("dxq://c/")`. Then start up the three servers as follows:

```
galaxd -s example -port 3324
galaxd -s example -port 3325
galaxd -s example -port 3326
```

`galaxd` uses the `-s` option to find out what the virtual network will look like: it will have hosts *a*, *b*, and *c*, operating on non-virtual ports 3324, 3325, and 3326 on localhost. The first invocation of `galaxd` above uses port 3324, so it uses *a.xq* to define its `local:main()` function. Similarly, the second and third invocations use *b.xq* and *c.xq*, respectively.

## Chapter 6

# Application Programming Interfaces (APIS)

The quickest way to learn how to use the APIs is as follows:

1. Read Section 6.1 “Galax API Support”.
2. Read Section 6.2 “Quick Start to the Galax APIs”.
3. Read the example programs in the `galax/examples/` directory while reading Section 6.2.

Every Galax API has functions for:

- Converting values in the XQuery data model to/from values in the native programming language (O’Caml, C or Java);
- Accessing values in XQuery data model from the native programming language;
- Loading XML documents into the XQuery data model;
- Creating and modifying the query evaluation environment (also known as the **dynamic context**);
- Evaluating queries given a dynamic context; and
- Serializing XQuery data model values as XML documents.

This chapter describes how to use each kind of functions.

## 6.1 Galax API Functionality

Galax currently supports application-program interfaces for the O’Caml, C, and Java programming languages.

All APIs support the same set of functions; only their names differ in each language API. This file describes the API functions. The interfaces for each language are defined in:

**O’Caml** `$GALAXHOME/lib/caml/galax.mli`

**C** `$GALAXHOME/lib/c/{galax,galax.util,galax.types,itemlist}.h`

**Java** `$GALAXHOME/lib/java/doc/*.html`

If you use the C API, see Section 6.5.1 “Memory Management in C API”. Example programs that use these APIs are in:

**O’Caml** `$GALAXHOME/examples/caml_api`

**C** `$GALAXHOME/examples/c_api`

**Java** `$GALAXHOME/examples/java_api`

To try out the API programs, edit `examples/Makefile.config` to set up your environment, then execute: `cd $GALAXHOME/examples; make all`.

This will compile and run the examples. Each directory contains a "test" program that exercises every function in the API and an "example" programs that illustrates some simple uses of the API.

The Galax query engine is implemented in O'Caml. This means that values in the native language (C or Java) are converted into values in the XQuery data model (which are represented are by O'Caml objects) before sending them to the Galax engine. The APIs provide functions for converting between native-language values and XQuery data-model values.

### 6.1.1 Linking and Running

There are two kinds of Galax libraries: byte code and native code. The C and Java libraries require native code libraries, and Java requires dynamically linked libraries. Here are the libraries:

O'Caml libraries in `$GALAXHOME/lib/caml`:

**galax.cma** Byte code

**galax.cmx** Native code

C libraries in `$GALAXHOME/lib/c`:

**libgalaxopt.a** Native code, statically linked

**libgalaxopt.so** Native code, dynamically linked

Java libraries in `$GALAXHOME/lib/java`:

**libglxoptj.so** Native code, dynamically linked

Note that Java applications MUST link with a dynamically linked library and that C applications MAY link with a dynamically linked library.

For Linux users, set `LD_LIBRARY_PATH` to `$GALAXHOME/lib/c:$GALAXHOME/lib/java`.

The Makefiles in `examples/c_api` and `examples/java_api` show how to compile, link, and run applications that use the C and Java APIs.

## 6.2 Quick Start to using the APIs

The simplest API functions allow you to evaluate an XQuery statement in a string. If the statement is an update, these functions return the empty list, otherwise if the statement is an Xquery expression, these functions return a list of XML values.

The example programs in `$(GALAXHOME)/examples/caml_api/example.ml`, `$(GALAXHOME)/examples/c_api/example.c`, `$(GALAXHOME)/examples/java_api/Example.java` illustrate how to use these query evaluation functions.

Galax accepts input (documents and queries) from files, string buffers, channels and HTTP, and emits output (XML values) in files, string buffers, channels, and formatters. See `$(GALAXHOME)/lib/caml/galax.io.mli`.

All the evaluation functions require a processing context. The default processing context is constructed by calling the function `Processing_context.default_processing_context`.

```
val default_processing_context : unit -> processing_context
```

There are three ways to evaluate an XQuery statement:

```
val eval_statement_with_context_item :
  Processing_context.processing_context -> Galax_io.input_spec ->
  Galax_io.input_spec -> item list
```

Bind the context item (the XPath `"/` expression) to the XML document in the resource named by the second argument, and evaluate the XQuery statement in the third argument.

```
val eval_statement_with_context_item_as_xml :
  Processing_context.processing_context -> item ->
  Galax_io.input_spec -> item list
```

Bind the context item (the XPath `"/` expression) to the XML value in the second argument and evaluate the XQuery statement in the third argument.

```
val eval_statement_with_variables_as_xml :
  Processing_context.processing_context ->
  (string * item list) list ->
  Galax_io.input_spec -> item list
```

The second argument is a list of variable name and XML value pairs. Bind each variable to the corresponding XML value and evaluate the XQuery statement in the third argument.

Sometimes you need more control over query evaluation, because, for example, you want to load XQuery libraries and/or main modules and evaluate statements incrementally. The following two sections describe the API functions that provide finer-grained control.

## 6.3 XQuery Data Model

### 6.3.1 Types and Constructors

In the XQuery data model, a value is a **sequence** (or list) of **items**. An item is either an **node** or an **atomic value**. An node is an **element**, **attribute**, **text**, **comment**, or **processing-instruction**. An **atomic value** is one of the nineteen XML Schema data types plus the XQuery type `xs:untypedAtomic`.

The Galax APIs provide constructors for the following data model values:

- lists/sequences of items
- element, attribute, text, comment, and processing instruction nodes
- xs:string, xs:boolean, xs:int, xs:integer, xs:decimal, xs:float, xs:double, xs:anyURI, xs:QName, xs:dateTime, xs:date, xs:time, xs:yearMonthDuration, xs:dayTimeDuration, and xs:untypedAtomic.

### Atomic values

The constructor functions for atomic values take values in the native language and return atomic values in the XQuery data model. For example, the O’Caml constructor:

```
val atomicFloat : float -> atomicFloat
```

takes an O’Caml float value (as defined in the Datatypes module) and returns a float in the XQuery data model. Similarly, the C constructor:

```
extern galax_err galax_atomicDecimal(int i, atomicDecimal *decimal);
```

takes a C integer value and returns a decimal in the XQuery data model.

### Nodes

The constructor functions for nodes typically take other data model values as arguments. For example, the O’Caml constructor for elements:

```
val elementNode : atomicQName * attribute list * node list * atomicQName -> element
```

takes a QName value, a list of attribute nodes, a list of children nodes, and the QName of the element’s type. Similarly, the C constructor for text nodes takes an XQuery string value:

```
extern galax_err galax_textNode(atomicString str, text *);
```

### Sequences

The constructor functions for sequences are language specific. In O’Caml, the sequence constructor is simply the O’Caml list constructor. In C, the sequence constructor is defined in galapi/itemlist.h as:

```
extern itemlist itemlist_cons(item i, itemlist cdr);
```

### 6.3.2 Using XQuery data model values

The APIs are written in an "object-oriented" style, meaning that any use of a type in a function signature denotes any value of that type or a value derived from that type. For example, the function `Dm_functions.string_of_atomicvalue` takes any atomic value (i.e., `xs_string`, `xs_boolean`, `xs_int`, `xs_float`, etc.) and returns an OCaml string value:

```
val string_of_atomicValue : atomicValue -> string
```

Similarly, the function `galax_parent` in the C API takes any node value (i.e., an element, attribute, text, comment, or processing instruction node) and returns a list of nodes:

```
extern galax_err galax_parent(node n, node_list *);
```

### 6.3.3 Accessors

The accessor functions take XQuery values and return constituent parts of the value. For example, the `children` accessor takes an element node and returns the sequence of children nodes contained in that element:

```
val children : node -> node list      (* OCaml *)
extern galax_err galax_children(node n, node_list *); /* C */
```

The XQuery data model accessors are described in detail in <http://www.w3c.org/TR/query-data>

### 6.3.4 Loading documents

Galax provides the `load_document` function for loading documents.

The `load_document` function takes the name of an XML file in the local file system and returns a sequence of nodes that are the top-level nodes in the document (this may include zero or more comments and processing instructions and zero or one element node.)

```
val load_document : Processing_context.processing_context ->
  Galax_io.input_spec -> node list (* OCaml *)

extern galax_err galax_load_document(char* filename, node_list *);
extern galax_err galax_load_document_from_string(char* string, node_list *);
```

## 6.4 Query Evaluation

The general model for evaluating an XQuery expression or statement proceeds as follows (each function is described in detail below):

1. Create default processing context:

```
let proc_ctxt = default_processing_context() in
```

2. Load Galax's standard library:

```
let mod_ctxt = load_standard_library(proc_ctxt) in
```

3. (Optionally) load any imported library modules:

```
let library_input = File.Input "some-xquery-library.xq" in let
mod_ctxt = import_library_module pc mod_ctxt library_input in
```

4. (Optionally) load one main XQuery module:

```
let (mod_ctxt, stmts) = import_main_module mod_ctxt (File.Input
"some-main-module.xq") in
```

5. (Optionally) initialize the context item and/or global variables defined in application (i.e., external environment):

```
let ext_ctxt = build_external_context proc_ctxt opt_context_item
var_value_list in let mod_ctxt = add_external_context mod_ctxt ext_ctxt
in
```

6. Evaluate all global variables in module context:

```
let mod_ctxt = eval_global_variables mod_ctxt
```

**\*\* NB: This step is necessary if the module contains *any* global variables, whether defined in the XQuery module or defined externally by the application. \*\***

7. Finally, evaluate a statement from the main module or one defined in the application or call some XQuery function defined in the module context:

```
let result = eval_statement proc_ctxt mod_ctxt stmt in
```

```
let result = eval_statement_from_io proc_ctxt mod_ctxt (Buffer.Input
some-XQuery-statement) in
```

```
let result = eval_query_function proc_ctxt mod_ctxt "some-function"
argument-values in
```

### 6.4.1 Module context

Every query is evaluated in a **module context**, which includes:

- the built-in types, namespaces, and functions;
- the user-defined types, namespaces, and functions specified in any imported library modules; and
- any additional context defined by the application (e.g., the values of the context item and any global variables).

The functions for creating a module context include:

```
val default_processing_context : unit -> processing_context
```

The default processing context, which just contains flags for controlling debugging, printing, and the processing phases. You can change the default processing context yourself if you want to print out debugging info.

```
val load_standard_library : processing_context -> module_context
```

Load the standard Galax library, which contains the built-in types, namespaces, and functions.

```
val import_library_module : processing_context ->
  module_context -> input_spec -> module_context
```

If you need to import other library modules, this function returns the `module_context` argument extended with the module in the second argument.

```
val import_main_module    : processing_context ->
  module_context -> input_spec ->
  module_context * (Xquery_ast.cstatement list)
```

If you want to import a main module defined in a file, this function returns the `module_context` argument extended with the main module in the second argument and a list of statements to evaluate.

The functions for creating an external context (context item and global variable values):

```
val build_external_context : processing_context -> (item option) ->
  (atomicDayTimeDuration option) -> (string * item list) list -> external_context
```

The external context includes an optional value for the context item (known as `..`), the (optional) local timezone, and a list of variable name, item-list value pairs.

```
val add_external_context : module_context -> external_context -> module_context
```

This function extends the given module context with the external context.

```
val eval_global_variables : processing_context -> xquery_module -> xquery_module
```

This function evaluates the expressions for all (possibly mutually dependent) global variables. It must be called before calling the `eval_*` functions otherwise you will get an "Undefined variable" error at evaluation time.

Analogous functions are defined in the C and Java APIs.

### 6.4.2 Evaluating queries/expressions

The APIs support three functions for evaluating a query: `eval_statement_from_io`, `eval_statement`, and `eval_query_function`.

**Note:** If the module context contains (possibly mutually dependent) global variables, the function `eval_global_variables` must be called before calling the `eval_*` functions otherwise you will get an "Undefined variable" error at evaluation time.

```
val eval_statement_from_io : processing_context -> xquery_module -> Galax_io.input_spec -> item list
```

Given the module context, evaluates the XQuery statement in the third argument. If the statement is an XQuery expression, returns `Some` (item list); otherwise if the statement is an XQuery update, returns `None` (because update statements have side effects on the data model store, but do not return values).

```
val eval_statement      : processing_context -> xquery_module -> xquery_statement -> item list
```

Given the module context, evaluates the XQuery statement

```
val eval_query_function : processing_context -> xquery_module -> string -> item list list -> item list
```

Given the module context, evaluates the function with name in the string argument applied to the list of item-list arguments. **Note:** Each actual function argument is bound to one item list.

Analogous functions are defined in the C and Java APIs.

### 6.4.3 Serializing XQuery data model values

Once an application program has a handle on the result of evaluating a query, it can either use the accessor functions in the API or it can serialize the result value into an XML document. There are three serialization functions: `serialize_to_string`, `serialize_to_output_channel` and `serialize_to_file`.

```
val serialize : processing_context -> Galax_io.output_spec -> item list -> unit
```

Serialize an XML value to the given galax output.

```
val serialize_to_string : processing_context -> item list -> string
```

Serializes an XML value to a string.

Analogous functions are defined in the C and Java APIs.

## 6.5 C API Specifics

### 6.5.1 Memory Management

The Galax query engine is implemented in O’Caml. This means that values in the native language (C or Java) are converted into values in the XQuery data model (which represented are by O’Caml objects) before sending them to the Galax engine. Similarly, the values returned from the Galax engine are also O’Caml values – the native language values are ”opaque handles” to the O’Caml values.

All O’Caml values live in the O’Caml memory heap and are therefore managed by the O’Caml garbage collector. The C API guarantees that any items returned from Galax to a C application will not be de-allocated by the O’Caml garbage collector, unless the C application explicitly frees those items, indicating that they are no longer accessible in the C application. The C API provides two functions in `galapi/itemlist.h` for freeing XQuery item values:

```
extern void item_free(item i);
```

Frees one XQuery item value.

```
extern void itemlist_free(itemlist il);
```

Frees every XQuery item value in the given item list.

### 6.5.2 Exceptions

The Galax query engine may raise an exception in O’Caml, which must be conveyed to the C application. Every function in the C API returns an integer error value :

- 0 if no exception was raised or
- -1 if an exception was raised.

The global variable `galax_error_string` contains the string value of the exception raised in Galax. In future APIs, we will provide a better mapping between error codes and Galax exceptions

## 6.6 Java API Specifics

### 6.6.1 General Info

The Galax query engine is implemented in O’Caml. This means that values in the native language (C or Java) are converted into values in the XQuery data model (which represented are by O’Caml objects) before sending them to the Galax engine.

The Java API uses JNI to call the C API, which in turn calls the O’Caml API (it’s not as horrible as it sounds).

There is one class for each of the built-in XML Schema types supported by Galax and one class for each kind of node:

|           |                       |      |
|-----------|-----------------------|------|
| Atomic    | Node                  | Item |
| xsAnyURI  | Attribute             |      |
| xsBoolean | Comment               |      |
| xsDecimal | Element               |      |
| xsDouble  | ProcessingInstruction |      |
| xsFloat   | Text                  |      |
| xsInt     |                       |      |
| xsInteger |                       |      |
| xsQName   |                       |      |
| xsString  |                       |      |
| xsUntyped |                       |      |

There is one class for each kind of sequence:

- ItemList
- AtomicList
- NodeList
- AttributeList

There is one class for each kind of context used by Galax:

- ExternalContext
- ModuleContext
- ProcessingContext
- QueryContext

Finally, the procedures for loading documents, constructing new contexts and running queries are in the `Galax` class.

### 6.6.2 Exceptions

All Galax Java API functions can raise the exception class `GalapiException`, which must be handled by the Java application.

### 6.6.3 Memory Management

All Java-C-O’Caml memory management is handled automatically in the Java API.

## 6.7 Operation-System Notes

Currently, Galax is not re-entrant, which means multi-threaded applications cannot create multiple, independent instances of the Galax query engine to evaluate queries.

### 6.7.1 Windows

The C API library `libgalaxopt.a`, so does not link properly under MinGW. A user reported that if you have the source distribution, you can link directly with the object files in `galapi/c_api/*.o` and adding the library `-lasmrun` on the command line works.

## Chapter 7

# Accessing and Storing XML

## 7.1 Accessing XML Documents with `fn:doc()`

## 7.2 Storing and Accessing XML Documents with Jungle

**Note:** Documentation under construction

To try out Jungle, make sure you have set up your environment as described in Section 2, then execute following:

```
% cd $(GALAXHOME)/examples/jungle
% make tests
```

**Note:** Don't forget in `galax/examples/jungle` director, to edit `jungle1.xq` and replace directory name by the path to your jungle directory, then execute: `make tests`.

These commands will take a small XMark input document, create a Jungle store, and run several example queries on the store.

### 7.2.1 `jungle-load` : The Jungle XML document loader

Usage: `jungle-load options input-xml-file`

**-version** Prints the Jungle loader version

**-help, -help** Display this list of options

**-store\_dir** Directory Path where store is to be created (default is current directory)

**-store\_name** Logical name of the store (default is Jungle).

**-buff\_size** Size of the buffer to be used (default is 256KB).

In `$(GALAXHOME)/examples/jungle`, execute following command to build a Jungle store:

```
jungle-load -store_dir tmp -store_name XMark $(GALAXHOME)/usecases/docs/xmark.xml
```

After executing this command, the `tmp` directory will contain:

```
XMark-AttrIndex.db   XMark-main.db
XMark-Namespace.db  XMark-Qname2QnameID.db XMark-Text.db
XMark-FirstChildIndex.db XMark-Metadata.db
XMark-NextSiblingIndex.db XMark-QnameID2Qname.db
```

## 7.3 Implementing the Galax data model

## Chapter 8

# For Galax Developers

## 8.1 Galax Source Code Architecture

The Galax source-code directories roughly correspond to each phase of the query processor. (Put link to Jerome's tutorial presentation here)

The processing phases are:

### Document processing

```
Document Parsing =>
[Schema Normalization (below) =>]
  Validation =>
    Loading =>
      Evaluation (below)
```

### Schema processing

```
Schema Parsing =>
  Schema Normalization =>
    Validation (above)
    Static Typing (below)
```

### Query processing

```
Query Parsing =>
  Normalization =>
    [Schema Normalization (above) =>]
    Static Typing (optional phase) =>
      Rewriting =>
        Compilation =>
          [Loading (above) =>]
            Evaluation =>
              Serialization
```

#### 8.1.1 General

Makefile

- Main Makefile
- base/
  - Command-line argument parsing
  - Global variables (conf.mlp)
  - XQuery Errors
  - String pools

- XML Whitespace handling

ast/

- All ASTs: XQuery User & Core, XQuery Type User & Core
- Pretty printers for all ASTs

config/

monitor/

- CPU &/or memory monitoring of each processing phase

toplevel/

- Main programs for command-line tools (see 'Generated executables' below)

website/

- Local copy of Galax web site

### 8.1.2 Datamodel

datatypes/ (\*\*\*) Doug)

- XML Schema simple datatypes – Lexers and basic operations

- datatypes\_lexer.mll To learn about O'Caml lex, read: <http://caml.inria.fr/ocaml/htmlman/manual026>. Sections 12.1 and 12.2 Other examples of lexers in `lexing/*.mll`

We are going to extend this module to include lexer for: `xsd:date`, `xsd:time`, `xsd:dateTime`, `xs:yearMonthDuration`, `xs:dayTimeDuration` (Skip Gregorian types for now, `xsd:gDay`, `xsd:gMonth`, etc)

- `dateTime.ml,mli` This module will implement the datatypes and basic operations

namespace/

- XML Qualified Names (`prefix:localname`) – Lexer and basic operations – QName resolution `prefix =i` URI

- Names of builtin functions & operators

dm/ (\*\*\*) Doug)

- Abstract data model interface for Nodes
- Concrete data model implementation for AtomicValues

datamodel/

- Main-memory implementation of abstract data model for Nodes ( Document-Object Model or DOM)

jungledm/

- Secondary storage implementation of Galax datamodel (Jungle)

physicaldm/

- Physical data model

streaming/

- XML parser to untyped and typed SAX streams
- export datamodel to SAX stream

### 8.1.3 Processing Model

procctx/

- Processing context contains all query-processor state:
  - Parse context
  - Normalization context
  - Static context
  - Rewrite context
  - Dynamic context

procmod/

- Processing model dynamically "glues" together phases (controlled by command-line arguments or API)

### 8.1.4 Query Parsing

lexing/

- Lexers for XQuery (excludes all simple datatypes)

parsing/

- Parsing context
- Parsing phase

### 8.1.5 Normalization

normalization/

- Normalization context
- Normalization phase (XQuery AST =<sub>i</sub> XQuery Core AST)
- Overloaded functions

### 8.1.6 Static Typing

fsa/

- Finite-state Automata for checking sub-typing relation

typing/

- Static-typing context
- Static-typing phase

### 8.1.7 Schema/Validation

schema/

- Schema-validation context
- Schema normalization phase (XML Schema =<sub>i</sub> XQuery Core Types)
- Document validation phase
- Judgments(functions) for comparing XQuery types

### 8.1.8 Rewriting

cleaning/

- Logical optimization/rewriting phase
- Sort-by-document order (DDO) optimization

rewriting/

- Generic AST rewriter

### 8.1.9 Compilation

compile/

- Compilation phase

algebra/

- AST for compiled algebra
- Dynamic context
- Implementations (dynamic) of most built-in functions & operators

### 8.1.10 Evaluation

evaluation/

- Evaluation phase

stdlib/

- Static typing of built-in functions & operators
- Implementations (dynamic) of built-in functions `fn:doc`, `fn:error`
- Signatures of built-in functions & operators (`pervasive.xqp`) Corresponds to sections in <http://www.w3c.org/TR/xpath-functions/>

### 8.1.11 Serialization

serialization/

- Serialize SAX stream to XML document (in O’Caml formatter)

### 8.1.12 Testing

usecases/

- Tests of XQuery Usecases Implements examples in <http://www.w3.org/TR/xquery-use-cases>

examples/

- Tests of O’Caml, C & Java APIs

regress/

- Regression tests (needs separate `xqueryunit/` CVS package)

### 8.1.13 APIs

galapi/

- O’Caml, C & Java APIs to Galax processor

### 8.1.14 External libraries & tools

tools/

Required tools:

- `http`
- `pcre`
- `pxp-engine`
- `netstring`

Optional supported tools:

- Jungle

Optional unsupported tools:

- glx\_curl
- jabber

### 8.1.15 Extensions

extensions/

- apache
- jabber

### 8.1.16 Experimental Galax extensions

projection/

- Document projection

wSDL/

wSDL.usecases/

- Web-service interfaces

### 8.1.17 Documentation

- Changes Change log!! Protocol: always document your changes in Changes file; use log entry as input message to 'cvs commit'
- BUGS Out of date
- LICENSE
- README
- STATUS
- TODO

### 8.1.18 Generated executables

**ocaml-galax** O’Caml top-level interpreter that loads Galax library. Usage:  
ocaml-galax -I \$(HOME)/Galax/lib/caml-devel

**galax-run** Complete XQuery engine For Usage: galax-run -help See also: all:  
rule in usecases/Makefile

**galax.a** Library versions of Galax

**galax.cma** byte code

**galax.cmxa** machine code

**galax-parse** Syntax checking on query, validation on a document

**galax-compile** Parsing, normalization, optimization, and prints resulting ex-  
pression

**galax-mapschema** Takes XML Schema and prints out internal XQuery type

Auxiliary research tools:

**galax-mapwsdl** Imports/exports Galax queries as WSDL Web Services

**xquery2soap**

**galax-project** Takes XQuery query and figures out what fragments of docu-  
ments are necessary to evaluate the query

## Chapter 9

# Release Notes

## 9.1 Galax 0.5.0 (February 2005)

Galax version 0.5 is a major release, and should be considered as an alpha release. Galax 0.5.0 implements the XQuery 1.0 working draft published in October 2004.

Among the most noticeable changes:

- Alignment with the XQuery 1.0, October 2004 working drafts.
- A much faster XML parser, based on Gerd Stolpmann's PXP, fixing many XML 1.0 conformance bugs as well.
- A completely new compiler, including a query optimizer that supports join optimizations and should deliver much better performances than the previous versions of Galax.
- "Document projection" is finally part of the main release, allowing to process queries over large documents. (see the `galax-project` command-line tool).
- Improved support for sorting by document order and duplicate removal in the compiler.
- The Windows port is back, based on the MinGW compilers.
- New port for MacOS X.

Have contributed to this release: Mary Fernández, Nicola Onose, Philippe Michiels, Christopher Ré, Jérôme Siméon, Michael Stark.

## 9.2 Galax 0.4.0 (August 2004)

Galax version 0.4 is a major release, and should be considered as an alpha release. Galax 0.4.0 implements the latest XQuery 1.0 working draft published in July 2004. It contains many improvements from the previous version, as well as new features.

Among the most noticeable improvements and new features: Galax now comes bundled with Jungle, a simple native XML store. It now supports XML Schema and "named typing". Finally, it contains some prototype support for Web services.

Have contributed to this release: Mary Fernández, Vladimir Gapeyev, Nicola Onose, Philippe Michiels, Doug Petkanics, Christopher Ré, Jérôme Siméon, Avinash Vyas.

Main changes over the previous version are listed below.

Language changes:

- Support for the latest XQuery 1.0's specifications (July 2004 Working Drafts).

- Support for XML Schema 1.0: schema import, validate, named typing, sequence types and type tests.
- Preliminary support for modules. Import module statements without recursion are supported. Details of the semantics will be fixed when issues around modules are addressed by the XML Query working group.
- Support for dates and time.
- Support for string regular expressions.

Environment changes:

- A new set of command-line tools replace the old ones: `galax-run`: The XQuery execution engine `galax-parse`: XML parsing and XML Schema validation `galax-project`: To apply XML document projection `galax-mapschema`: To map XML Schema to the XQuery type system `ocaml-galax`: The OCaml interpreter bundled with Galax
- New command-line tools for Web services: `galax-mapwsdl`: To map WSDL interfaces to an XQuery module `xquery2soap`: To deploy an XQuery module as an apache Web service.
- Revisions to the Caml, C, C++ and Java APIs.

Architectural changes:

- A new extensible data model, making Galax easy to use over 'virtual' XML documents.
- A completely new query compiler and evaluation engine that supports an hybrid SAX-tuple-tree algebra. The new compilation infrastructure should already show improved performances, although it performs little optimizations yet. Expect more work in this area in future versions of the system

New features:

- Alpha support for native XML storage with Jungle (on top of Sleepycat's BerkeleyDB).
- Alpha support for calling Web services from within XQuery.

Portability:

- Added Makefile for Mac OSX in `config/Makefile.osx`.
- Fixed numerous problems with Win32.

### 9.3 Galax 0.3.5 (December 2003)

This is a bug-fix release:

- Now compiles with OCaml 3.07.
- Numerous bug fixes to the XML updates support.
- Added `glx:document-save()` function allowing to save the result of a query (notably useful in the case of an existing document that has been updated).
- Fixed bug in the release of Caml values in the C-API.
- Fixed bug in pretty-printing of function application, and added pretty-printing for the query prolog.
- Fixed index bug in `fn:substring` and `fn:translate`.
- Fixed serialization in canonical form.
- Fixed bug in parsing of PIs in the document root.
- Fixed bug in validation/casting of atomic values not dealing with whitespace properly.
- Fixed bug in key/keyref support introduced with the new API.
- Fixed bug in parsing of DTD declarations with the PUBLIC keyword.

### 9.4 Galax 0.3.1 (June 2003)

Language extensions:

- Alpha support for XML updates!

Command line:

- External variables and context items can be bound from the command-line.

API:

- Brand new, hopefully complete Caml, C, and Java APIs. Check them out!

Parsing:

- Switched for good to the SAX parser. `glx:document-sax` is removed.
- Complete new support for character encodings. Now detects encoding in XML declaration properly. Support for UTF-16.

## 9.5 Galax 0.3.0 (January 2003)

### Bugs

- All reported and fixed bugs are documented in 'Bugs' file.

Language: Numerous changes to align with Nov. 2002 and upcoming Feb 2003 WDs.

- Grammar alignment: 'as' SequenceType in type declarations, function signatures, typeswitch
- Implements element & attribute constructor semantics of Nov. 2002 WDs.
- Added positional variables to FLWOR
- Added support for order-by in FLWOR
- Implemented complete semantics of path expressions, including document order and removing duplicates.
- Implemented dynamic function dispatch, promotion of arguments to arithmetic operators
- Transitive 'eq' operators

Galax features:

- Command-line options for monitoring memory and CPU usage.

Data model:

- Updated terminology to align with WDs.

Function library:

- Changed xf: to fn: prefix
- Added fn:error()
- Added support for fn:base-uri() and fn:lang()
- New semantics for fn:data() and fn:boolean()

## 9.6 Galax 0.2.0 (October 2002)

### Parsing

- Fixed very large number of bugs. Support for entities and DTDs is still missing.
- Support for ISO-8859-1 and UTF-8 character encodings.

- Factorized XML and XQuery parsers. Results in more compact code, easier to improve and maintain.
- Updated XQuery parser to align with latest grammar.
- Alpha support for SAX-based parsing.

Data model:

- Support for node identity.
- Fixed support for text nodes.

Language:

- Major revision based on August 16th 2002 working drafts.
- Full support for XPath expressions. Notably XPath parent, ancestor, ancestor-or-self axis. See STATUS for some remaining deviations.
- Support for node-identity related operations (is, isnot distinct-nodes, union, intersect, except, etc.).
- Support for type promotion in function calls, arithmetics, etc.
- Fixed many bugs in the semantics, through normalization.
- Added dynamic semantics for type operations through (simplified) form of matching. Still some bugs there.

Namespaces:

- Fixed many bugs in namespace support and printing of namespaces.
- Implemented support for default function namespace.

XML Schema:

- *\*Very\** alpha support for XML Schema import and validation. Basic datatypes are now supported.

Type system:

- Updated type inference with the new language.
- Made sure all expressions are type checked, necessary for optimization.
- Fixed bugs in typing for typeswitch.

Function library:

- Most of F&O functions are now implemented. Some limitations apply to XML Schema types not yet supported (notably date and time).

Optimizer:

- Support for simple query simplification.

Compilation:

- Removed dependency to the stdlib file.
- Removed dependency to anything but OCaml compilers and standard unix tools.
- Fixed compilation for both Cygwin and MinGW.

Tests:

- Added large number of regression tests.

Tools and interfaces:

- Removed Java API for now. Will be back soon.
- Added very limited user-level api (Galapi) in Caml.
- Changed galax command-lined interpreter. See the new syntax and options in ./README
- Major revision of the pretty-printer for types and XQuery expressions. Added support for precedence in both cases.

Documentation:

- Added examples of calls to the Caml and C API's in ./example.



## Chapter 10

# Alignment with XQuery Working Drafts

This chapter documents the relationship of Galax to the target W3C working drafts. Galax 0.6.0-pre-release is a prototype implementation, and therefore it is not (yet) completely aligned with the relevant W3C working drafts (WDs). This chapter also documents the non-standard features in Galax 0.6.0-pre-release and the known bugs and limitations.

Galax 0.6.0-pre-release implements the October 2004 XQuery 1.0 and XPath 2.0 Working Drafts, the XML 1.0 Recommendation, the Namespaces in XML Recommendation, and XML Schema Recommendation (Parts 1 and 2).

Galax 0.6.0-pre-release implements large parts, but not all of the following W3C working drafts related to XQuery:

- XQuery 1.0 and XPath 2.0 Data Model. W3C Working Draft 23 October 2004. <http://www.w3.org/TR/2004/WD-xpath-datamodel-20041029/>.
- XQuery 1.0 : An XML Query Language. W3C Working Draft 23 October 2004. (<http://www.w3.org/TR/2004/WD-xquery-20041029/>).
- XQuery 1.0 and XPath 2.0 Formal Semantics. W3C Working Draft 11 February 2005. (<http://www.w3.org/TR/2005/WD-xquery-semantics-20050211/>).
- XQuery 1.0 and XPath 2.0 Functions and Operators. W3C Working Draft 23 October 2004. (<http://www.w3.org/TR/2004/WD-xpath-functions-20041029/>).
- XML Query Use Cases. W3C Working Draft 11 February 2005. (<http://www.w3.org/TR/xquery-use-cases/>).
- XML Schema Part 1: Structures and Part 2: Datatypes. W3C Recommendation 2 May 2001. <http://www.w3.org/TR/xmlschema-1/>, <http://www.w3.org/TR/xmlschema-2/>.

## 10.1 Data Model

Galax 0.6.0-pre-release fully supports the XQuery 1.0 and XPath 2.0 Data Model.

Galax 0.6.0-pre-release implements an `xsd:float` value as an `xsd:double` value.

## 10.2 XQuery

The alignment issues in this section follow the outline of the "Expressions" section in <http://www.w3c.org/TR/xquery>. If a subsection is not listed here, it means that Galax 0.6.0-pre-release implements the semantics described in that section.

### XQuery Section 2.1.1 Static Context

Galax 0.6.0-pre-release does not support:

- **XPath 1.0 compatibility modes.**
- **Collations.**

- The **construction mode**. Type annotations on copied elements are always erased/eliminated.
- The **ordering mode**. Input order is always preserved.

### XQuery Section 2.1.2 Dynamic Context

The **implicit timezone** is set to the local timezone.

### XQuery Section 2.2 Processing Model

Galax's processing model is similar to XQuery's abstract processing model. See Section 8 for more information on Galax's internal processing model.

### XQuery Section 2.2.4 Serialization

### XQuery Section 2.3.3 Effective Boolean Value

Galax 0.6.0-pre-release does not check that a numeric value is equal to NaN when computing an effective boolean value.

### XQuery Section 2.3.4 Input Sources

Galax 0.6.0-pre-release does not support the `fn:collection()` function.

The context item and values for external variables can be specified on the command line or in the API. See Sections 5.1.1 and 6.2.

### XQuery Section 2.4.4 SequenceType Matching

Galax requires that all actual types, that is, those types that annotate input documents be in the in-scope schema definitions. Galax will raise a dynamic error if it encounters a type in a document that is not imported into the query by an **import schema** prolog statement.

### XQuery Section 2.6 Optional Features

Galax supports the **Schema Import**, **Static Typing**, and **Full Axis** features.

### XQuery Section 2.6.4 Module Feature

Galax 0.6.0-pre-release does not support import of mutually recursive modules.

### XQuery Section 2.6.5 Pragmas

Galax 0.6.0-pre-release does not support the **Pragmas** feature.

### XQuery Section 2.6.6 Must-Understand Extensions

Galax 0.6.0-pre-release does not support must-understand extensions.

### XQuery Section 2.6.7 Static Typing Extensions

Galax 0.6.0-pre-release does not support static typing extensions.

### XQuery Section 3.1.1 Literals

Galax 0.6.0-pre-release implements an `xsd:float` value as an `xsd:double` value.

### XQuery Section 3.2.1.1 Axes

Galax 0.6.0-pre-release supports all axes with the exception of the **preceding** and **following** axes.

### XQuery Section 3.2.1.2 Node Tests

Galax 0.6.0-pre-release does not support the **DocumentTest**, **ElementTest**, **AttributeTest**, **SchemaElementTest**, or **SchemaAttributeTest** in path expressions. These kind tests, however, are supported wherever else a Sequence-Type is expected.

### XQuery Section 3.7 Constructors

When constructing a new element, Galax 0.6.0-pre-release always erases/eliminates type annotations on copied elements.

When constructing a new element, Galax 0.6.0-pre-release requires that the new element's attributes precede its other content.

Galax 0.6.0-pre-release does not permit document nodes in the content of a new element.

### XQuery Section 3.7.4 In-scope Namespaces of a Constructed Element

Namespace declarations in input and output documents and in input queries are not handled consistently. We are working on this.

### XQuery Section 3.9 Ordered and Unordered Expressions

Galax 0.6.0-pre-release will accept queries that contain the `ordered` or `unordered` expressions, but they have no effect on query evaluation (i.e., they are no-ops).

### **XQuery Section 4.2 Module Declaration**

#### **XQuery Section 4.2 Module Import**

Although module declarations and module import statements are supported, they are not well tested.

Galax 0.6.0-pre-release does not support recursive modules import.

#### **XQuery Section 4.4 Default Collation Declaration**

Galax 0.6.0-pre-release does not support collations.

#### **XQuery Section 4.6 Construction Declaration**

Galax 0.6.0-pre-release does not support the construction declaration.

#### **XQuery Section 4.8 Default Ordering Declaration**

Galax 0.6.0-pre-release does not support the default ordering declaration.

#### **XQuery Section 4.9 Schema Import**

Schema components in an imported schema are mapped into XQuery types according to the mapping rules specified in the XQuery 1.0 Formal Semantics (see below).

## **10.3 XQuery 1.0 Formal Semantics**

The XQuery 1.0 formal semantics defines the mapping of every XQuery expression into an expression in the XQuery core, and it defines the static and dynamic semantics of each core expression. The formal semantics also defines how imported schemas are mapped into internal XQuery types.

Galax 0.6.0-pre-release implements the static and dynamic semantics of core expressions defined in the XQuery 1.0 Formal Semantics.

## **10.4 Functions and Operators**

Galax 0.6.0-pre-release supports most of the functions in the XQuery 1.0 and XPath 2.0 Functions and Operators document. The signatures of supported functions are listed in `$GALAXLIB/pervasive.xq`.

Galax 0.6.0-pre-release does not support the following functions:

```
fn:nilled
fn:document-uri
fn:round-half-to-even
fn:codepoints-to-string
```

```

fn:string-to-codepoints
fn:escape-uri
fn:normalize-unicode
op:gYearMonth-equal
op:gYear-equal
op:gMonthDay-equal
op:gMonth-equal
op:gDay-equal
fn:resolve-QName
fn:get-namespace-uri-for-prefix
fn:get-in-scop-namespaces
fn:number
fn:id
fn:idref
fn:collection
fn:default-collation

```

### Functions and Operators Section 4 The Trace Function

The `fn:trace` function emits its input sequence and message are to standard output. n

### Functions and Operators Section 5.2 Constructor Functions for User-Defined Types

Galax 0.6.0-pre-release does not support constructor functions for user-defined types.

### Functions and Operators Section 12 Functions and Operators on `base64Binary` and `hexBinary`

Galax 0.6.0-pre-release does not support any functions on binary data.

### Functions and Operators Section 17 Casting

Galax 0.6.0-pre-release supports most of the basic casting rules.

## 10.5 Use Cases

See `$GALAXHOME/usecases/STATUS`

## 10.6 Galax 0.6.0-pre-release extensions

### 10.6.1 Defining XQuery Types in the Query Prolog

Type values are available in a query by either importing a predefined XML schema using the `import schema` declaration in the query prolog or by defining XQuery types explicitly in the query prolog.

Galax 0.6.0-pre-release supports the definition of XQuery types in the query prolog using the internal type syntax defined in the XQuery 1.0 Formal Semantics. The grammar is provided here for reference:

```

TypeDeclaration ::= ("define" "element" QName "{" TypeDefn? "}")
                  | ("define" "attribute" QName "{" TypeDefn? "}")
                  | ("define" "type" QName "{" TypeDefn? "}")

TypeDefn        ::= TypeUnion
                  | TypeBoth
                  | TypeSequence
                  | TypeSimpleType
                  | TypeAttributeRef
                  | TypeElementRef
                  | TypeTypeRef
                  | TypeParenthesized
                  | TypeNone

TypeUnion       ::= TypeDefn "|" TypeDefn
TypeBoth        ::= TypeDefn "&" TypeDefn
TypeSequence    ::= TypeDefn "," TypeDefn
TypeSimpleType  ::= QName OccurrenceIndicator
TypeAttributeRef ::= "attribute" NameTest ("{" TypeDefn? "}")? OccurrenceIndicator
TypeElementRef  ::= "element" NameTest ("{" TypeDefn? "}")? OccurrenceIndicator
TypeTypeRef     ::= "type" NameTest OccurrenceIndicator
TypeParenthesized ::= "(" TypeDefn? ")" OccurrenceIndicator
TypeNone        ::= "none"

```

### 10.6.2 Galax specific functions

Galax-only functions are put in the Galax namespace (<http://db.bell-labs.com/galax>), which is bound by default to the `glx:` prefix.

See `$GALAXHOME/lib/pervasive.xq` for a complete list of functions in the Galax namespace.